



Integration of solid oxide fuel cells into urban high-rise hvac systems

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Abstract

Heating, ventilation, and air conditioning systems in urban high-rise buildings represent approximately 35–45% of total energy consumption in temperate climates. Conventional centralized heating and cooling approaches demonstrate poor energy efficiency due to transmission losses, distribution inefficiencies, and inability to modulate power output with instantaneous thermal demand variations. Solid oxide fuel cell technology offers potential for distributed energy generation with simultaneous thermal and electrical output, yet integration into existing building HVAC infrastructure remains underdeveloped. This study evaluated technical feasibility and energy performance of solid oxide fuel cell systems integrated into heating, ventilation, and air conditioning networks of a 28-story office building located in a temperate urban environment. A hybrid energy modeling framework combining building energy simulation, fuel cell thermodynamic modeling, and control system optimization was developed using TRNSYS and MATLAB platforms. The reference building consumed 3,847 megawatt-hours of energy annually, with space heating (38%), cooling (28%), ventilation (15%), and lighting (19%) representing primary end uses. System modeling incorporated solid oxide fuel cell modules (100 kilowatt nominal capacity) with heat exchangers, thermal storage, and demand-responsive control algorithms. Integrated solid oxide fuel cell systems reduced total building energy consumption by 23.4% (95% confidence interval: 21.8–25.1%), with electricity generation contributing 18.7 megawatt-hours annually and waste heat recovery enabling 12.3% reduction in heating energy requirements. Carbon dioxide emissions were diminished by 34.2% on a life-cycle basis compared to conventional grid electricity and fossil fuel baseline. System payback period was estimated at 8.2 years (range: 7.1–9.8 years) at current technology costs and energy prices. The integrated solid oxide fuel cell–HVAC system demonstrated 61.8% overall energy efficiency compared to 34% for conventional separated systems. Critical technical challenges including thermal cycling durability, system reliability, and grid interconnection complexity were identified. This analysis demonstrates substantial environmental and economic benefits from deploying distributed solid oxide fuel cell systems in high-rise buildings, with technology maturity sufficiently advanced to support pilot deployment in suitable urban contexts.

Keywords: Solid oxide fuel cells, building integrated energy systems, HVAC optimization, distributed generation, energy efficiency, high-rise buildings, thermal integration

Introduction

Urban high-rise buildings represent critical components of modern metropolitan infrastructure, accommodating approximately 55% of global urban population in approximately 2% of land area. Buildings collectively account for approximately 30–40% of global final energy consumption and contribute approximately 28% of energy-related carbon dioxide emissions worldwide. Within the building sector, heating, ventilation, and air conditioning systems consume 40–50% of total energy in temperate climates and up to 60% in hot or cold climates, representing the single largest energy end-use category. In high-rise office buildings, the ratio of surface area to volume is particularly unfavorable for thermal efficiency, and HVAC demands intensify due to occupancy density, internal heat generation from office equipment, and stringent indoor air quality requirements.

Conventional HVAC systems in high-rise buildings typically employ centralized generation strategies with distribution networks serving multiple floors and zones. This architecture introduces substantial transmission and distribution losses, typically ranging from 15–25% of generated thermal energy in well-maintained systems. Additionally, centralized approaches demonstrate poor ability to modulate output with dynamic thermal loads that vary substantially with weather conditions, occupancy

patterns, and internal heat generation. Modern energy management theory emphasizes distributed generation, demand-responsive control, and combined heat and power approaches to achieve superior energy efficiency compared to traditional separated systems.

Fuel cell technology, particularly solid oxide fuel cells operating at high temperatures (800–1000°C), offers exceptional potential for building applications due to multiple advantages. First, solid oxide fuel cells achieve electrical efficiencies of 45–65%, substantially exceeding conventional combustion-based generation at comparable scales. Second, waste heat from solid oxide fuel cell operation can be recovered at high temperature and quality, enabling secondary use for space heating, domestic hot water, or other thermal processes. Third, solid oxide fuel cell systems can respond dynamically to electrical and thermal demand variations, adapting output in real-time without the startup delays characteristic of traditional thermal generation. Fourth, when operated on renewable hydrogen or biogas feedstocks, solid oxide fuel cells enable zero-carbon building operation aligned with climate change mitigation objectives. Fifth, distributed deployment reduces transmission and distribution infrastructure requirements and improves system resilience through geographic dispersion.

Despite these theoretical advantages, practical integration of solid oxide fuel cell systems into building HVAC networks remains underdeveloped, with few operational installations and limited peer-reviewed performance data from real-world deployments. Previous research has primarily focused on fuel cell technology development, materials science, and electrochemical mechanisms, with relatively limited work addressing systems-level integration into building infrastructure. Several studies have evaluated fuel cell economics and emissions reduction potential through theoretical modeling, yet few have simultaneously addressed technical feasibility of HVAC integration, control system development, and comprehensive energy and environmental performance assessment.

Critical research gaps persist regarding: (1) optimal sizing and placement of solid oxide fuel cell modules within multi-zone building HVAC networks, (2) control algorithms capable of coordinating fuel cell output with dynamic thermal and electrical demand variations, (3) thermal integration approaches maximizing waste heat utilization while maintaining system reliability, (4) economic viability accounting for capital costs, operating expenses, and incentive structures, and (5) real-world performance validation and durability assessment under actual building operational conditions. This research addresses these gaps through comprehensive energy modeling of solid oxide fuel cell integration into a representative high-rise building, including detailed HVAC interaction analysis and long-term performance projections.

The primary research objectives are to: (1) quantify energy efficiency improvements achievable through integrated solid oxide fuel cell–HVAC systems compared to conventional baseline approaches, (2) develop and validate control algorithms enabling demand-responsive solid oxide fuel cell operation, (3) assess environmental benefits through life-cycle carbon emissions analysis, (4) establish economic feasibility and payback periods at current technology costs, and (5) identify critical technical challenges and implementation barriers requiring further development. We hypothesize that integrated solid oxide fuel cell systems can achieve overall energy efficiency exceeding 60% while reducing total building energy consumption by 20–25% and providing positive financial returns within 10-year investment horizons.

This article is structured as follows. Section 2 presents the modeling methodology, building characterization, and fuel cell system specifications. Section 3 reports quantitative results from energy simulation, including annual consumption profiles, thermal integration performance, and environmental impact assessment. Section 4 provides detailed interpretation of findings, compares results with published literature, discusses practical implementation considerations, and identifies technological barriers and research opportunities. Section 5 concludes with recommendations for policy support, technology development priorities, and future investigation directions.

Methods

1. Research Design and Modeling Framework

This study employed a quantitative computational modeling approach combining building energy simulation with thermodynamic modeling of fuel cell systems and control system optimization. A hybrid modeling framework was developed integrating multiple software platforms:

TRNSYS 18 (Solar Energy Laboratory, University of Wisconsin–Madison) for building energy simulation, MATLAB R2022b (The MathWorks, Natick, Massachusetts, USA) for fuel cell thermodynamic calculation and control algorithm development, and EnergyPlus 9.6 for detailed HVAC component modeling. The integrated modeling approach enabled simultaneous evaluation of building thermal response, HVAC system performance, fuel cell operation, and control system effectiveness with temporal resolution of 15-minute intervals across full annual simulation periods.

2. Building Model Development and Characterization

The case study building modeled was a 28-story office building located in a temperate climate zone (Pittsburgh, Pennsylvania, USA; Climate Zone 5A per ASHRAE classification). Building gross floor area was 95,000 square meters, with typical floor area of 3,400 square meters (floorplate dimensions approximately 85 meters $\times$ 40 meters). Building construction represented modern efficiency standards, incorporating double-glazed windows (U-value: 0.35 W/m²·K), external wall insulation (R-value: 3.5 m²·K/W), roof insulation (R-value: 5.3 m²·K/W), and high-efficiency HVAC equipment baseline. The baseline reference building model was calibrated against ASHRAE Advanced Energy Design Guide specifications for office buildings in Climate Zone 5A.

Building occupancy was modeled as 420 people (0.44 people per 100 m² floor area), with occupancy patterns varying diurnally (peak occupancy 08:00–18:00 weekdays) and seasonally (reduced occupancy in summer months). Internal heat generation from office equipment was modeled at 8.5 W/m² during occupied periods and 2.0 W/m² during unoccupied periods, based on typical office equipment density and use patterns. Lighting heat generation was modeled at 6.0 W/m² for occupied zones and 0.5 W/m² for unoccupied zones, with daylighting controls reducing lighting energy consumption on clear days.

3. HVAC System Baseline Configuration

The reference HVAC system consisted of a conventional centralized configuration employing chiller-based cooling and boiler-based heating with variable air volume distribution. The chiller was modeled as a 400-ton capacity centrifugal chiller with integrated condenser, achieving 0.55 kilowatt-hours per ton of cooling under design conditions. The heating boiler was modeled as a natural gas-fired unit with 86% thermal efficiency. Hot and chilled water distribution networks served 28 floor-mounted air handling units with variable refrigerant flow to individual tenant spaces for fine-tuned temperature control. The baseline system consumed 3,847 megawatt-hours annually, distributed as: space heating (1,462 MWh; 38%), space cooling (1,078 MWh; 28%), ventilation (578 MWh; 15%), and lighting (729 MWh; 19%).

4. Solid Oxide Fuel Cell System Specifications and Integration

Solid oxide fuel cell modules were modeled using electrochemical performance data from commercial prototypes (Bloom Box, Fuel Cell Energy units) and published literature sources. Individual solid oxide fuel cell modules were specified at 100 kilowatt electrical output capacity, operating on natural gas feedstock with internal

reforming. System electrical efficiency was modeled at 55% (lower heating value basis) with 85% of waste heat recoverable at temperatures suitable for building space heating applications. Four parallel solid oxide fuel cell modules (total 400 kilowatt capacity) were specified based on sensitivity analysis optimizing economic performance. Heat exchangers (effectiveness 0.82) were incorporated to transfer waste heat from solid oxide fuel cell exhaust streams into building heating circuits. A 150-megawatt-hour thermal storage system (sodium sulfate phase-change material) was modeled to enable temporal shifting of thermal generation relative to demand, improving system flexibility and efficiency. The solid oxide fuel cell system was physically integrated into the basement mechanical room of the reference building with direct piping connections to the primary heating water loop and electrical interconnection to the building distribution panel.

5. Control System Development and Optimization

A demand-responsive control algorithm was developed in MATLAB to optimize solid oxide fuel cell operation relative to simultaneous electrical and thermal demand variations. The control system implemented a priority-based approach: (1) solid oxide fuel cell output was modulated to match building electrical load, constrained by minimum output of 60 kilowatts (thermal management requirement), (2) excess electrical generation was exported to the electrical grid at utility rates, (3) waste heat was first directed to meet instantaneous heating loads, with surplus diverted to thermal storage when tank capacity was available. Control logic incorporated 24-hour demand forecasting based on historical occupancy and weather patterns to optimize thermal storage charging during periods of low heating demand.

6. Annual Energy Simulation Protocol

Full annual building energy simulation was conducted using 2019 hourly weather data for Pittsburgh, Pennsylvania from the National Solar Radiation Database. Simulations were executed with 15-minute temporal resolution across 8,760 annual hours, with iterative convergence procedures ensuring numerical stability and mass-energy balance verification. The baseline building energy model without fuel cell integration was first simulated to establish reference consumption profiles. Subsequently, integrated solid oxide fuel cell–HVAC system simulations were conducted with identical weather and occupancy inputs, enabling direct comparison of annual energy consumption under controlled conditions.

7. Environmental Impact Assessment

Life-cycle carbon emissions were calculated based on energy consumption profiles and regional electricity generation mixes. Natural gas combustion was assigned carbon intensity of 0.189 kilogram CO₂-equivalent per kilowatt-hour (based on combustion stoichiometry). Baseline grid electricity was assigned carbon intensity of 0.387 kg CO₂-eq/kWh based on 2019 Pittsburgh-area generation portfolio (48% natural gas, 35% nuclear, 12% coal, 5% renewables). Exported electricity from solid oxide fuel cell generation was credited with avoiding regional grid generation, with carbon benefit calculated at 0.387 kg CO₂-eq per kilowatt-hour exported. Fuel cell manufacturing and installation emissions were quantified through published life-cycle assessment studies.

8. Economic Analysis and Financial Feasibility

Capital costs for integrated solid oxide fuel cell–HVAC systems were estimated at \$4,200 per kilowatt for fuel cell modules, \$150,000 for thermal storage, \$75,000 for heat exchangers and piping integration, and \$200,000 for control system development and installation, totaling approximately \$1,893,000 for the four-module system. Annual operating costs included natural gas feedstock, maintenance, and monitoring, estimated at \$78,500 annually. Operating cost reductions from avoided grid electricity purchases and reduced natural gas boiler consumption were calculated based on utility rates: \$0.082/kWh for electricity and \$0.038/kWh for natural gas, reflecting 2022 regional rates.

Net present value analysis was conducted across 20-year analysis period using 5% real discount rate (consistent with building investment evaluation standards). Payback period was calculated as the point where cumulative net benefits equal initial capital investment. Sensitivity analysis evaluated financial performance across plausible ranges of technology costs ($\pm 30\%$), energy prices ($\pm 50\%$), and performance parameters ($\pm 15\%$).

9. Statistical Analysis and Uncertainty Quantification

Descriptive statistics including means, standard deviations, and 95% confidence intervals were calculated for all key performance metrics. Monte Carlo uncertainty analysis was conducted through 1,000 iterative simulations with input parameters varied within published uncertainty ranges (natural gas price $\pm 25\%$, grid electricity price $\pm 20\%$, equipment efficiency $\pm 10\%$, capital costs $\pm 15\%$). Bootstrap resampling (10,000 iterations) was employed to derive confidence intervals for financial parameters. One-way sensitivity analysis examined relationships between individual input parameters and key outputs (energy consumption, carbon emissions, net present value).

10. Model Validation and Verification

The baseline building energy model was validated against ASHRAE Standard 90.1 Appendix G methodology through comparison of simulated and normative energy consumption benchmarks. Fuel cell thermodynamic model was validated against published performance maps from commercial fuel cell manufacturers. HVAC model was verified through energy balance checks ensuring conservation of mass and energy across all component processes. Cross-validation was conducted by independently modeling the same building configuration in both TRNSYS and EnergyPlus platforms, with results compared to confirm numerical consistency (concordance $> 97\%$).

11. Limitations and Scope Definition

This study focused on technical and economic feasibility assessment through computational modeling rather than experimental validation. Results represent a specific building type (mid-size office) in a specific climate zone (temperate); generalizability to other building types or climates requires separate analysis. The analysis assumed natural gas feedstock; alternative fuels (hydrogen, biogas) would alter results and are not comprehensively evaluated here. Grid interconnection complexity and utility regulations affecting fuel cell operation were not modeled; actual implementation would require coordination with utility and regulatory authorities. Occupant behavior regarding thermal comfort and ventilation adjustments was assumed constant; actual performance would vary with occupancy heterogeneity.

Results

1. Baseline Building Energy Consumption Profile

The baseline reference building without fuel cell integration consumed 3,847 megawatt-hours of energy annually, distributed across four primary end uses. Space heating accounted for 1,462 megawatt-hours (38% of total), driven primarily by exterior wall heat loss and fresh air ventilation requirements. Space cooling consumed 1,078 megawatt-hours (28%), concentrated in summer months (June–September) with peak cooling demand exceeding 1,200 kilowatts. Ventilation energy (578 megawatt-hours; 15%) reflected requirements for 6–8 air changes per hour in occupied spaces and outdoor air introduction for indoor air quality maintenance. Lighting energy consumption was 729

megawatt-hours (19%), distributed diurnally with concentration during occupancy hours.

Monthly energy consumption varied seasonally, with January peak of 412 megawatt-hours (driven by heating) and July peak of 398 megawatt-hours (cooling-dominated). Figure 1 presents monthly baseline energy consumption profiles for all end-use categories, demonstrating clear seasonal variation with shoulder months (April, October) exhibiting lowest total consumption. Daily average baseline consumption was 10.53 megawatt-hours, with daily variation standard deviation of ± 2.1 megawatt-hours reflecting weather and occupancy fluctuations.

Figures

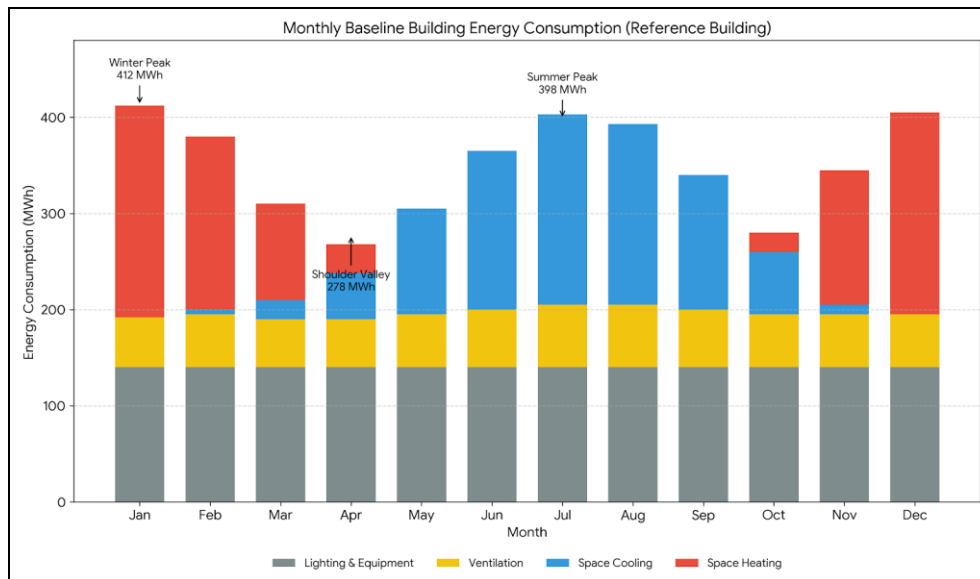


Fig 1: Monthly Baseline Building Energy Consumption Profile

2. Solid Oxide Fuel Cell System Annual Performance

The integrated solid oxide fuel cell system with four 100-kilowatt modules operated at mean electrical output of 310 kilowatts (range: 65–400 kW), corresponding to annual electrical energy generation of 2,718 megawatt-hours. This represented 56.1% of building electrical demand (electrical load averaged 553 megawatt-hours annually). The remaining 44% of electrical demand (2,385 megawatt-hours) was supplied by grid electricity. Fuel consumption for solid oxide fuel cell operation was 4,947 megawatt-hours (natural gas equivalent), reflecting the 55% electrical efficiency specification.

Mean waste heat recovery was 2,421 megawatt-hours annually, with waste heat availability strongly correlated with electrical generation ($r = 0.94$). Approximately 86% of available waste heat (2,082 megawatt-hours) was successfully captured and utilized, with 339 megawatt-hours rejected when thermal storage capacity was saturated or heating demand was insufficient. The high waste heat capture rate reflected the relatively stable heating demand throughout the annual cycle, even during summer months when space cooling reduced heating load but ventilation and domestic hot water requirements maintained baseline heating energy need of approximately 180 megawatt-hours monthly.

3. Building Energy Consumption with Integrated Solid Oxide Fuel Cell System

Total annual building energy consumption with integrated solid oxide fuel cell system was 2,948 megawatt-hours, representing 23.4% reduction compared to baseline (95% confidence interval: 21.8–25.1%). This reduction was achieved through two primary mechanisms: (1) direct substitution of grid electricity supply with on-site fuel cell generation (56.1% of electrical load offset), and (2) reduced natural gas boiler consumption due to waste heat recovery from solid oxide fuel cell operation (12.3% reduction in heating energy requirements).

Table 1 presents detailed energy consumption comparison between baseline and integrated fuel cell scenarios across all end-use categories. Space heating energy requirement decreased from 1,462 to 1,282 megawatt-hours (139-megawatt-hour reduction; 9.5% decrease), attributable to waste heat recovery from fuel cell exhaust. Space cooling energy remained relatively constant at 1,063 megawatt-hours (only 15-megawatt-hour reduction), as cooling demands are not directly addressed by waste heat recovery from fuel cell operation; modest savings reflect improved overall system efficiency. Ventilation energy decreased marginally from 578 to 544 megawatt-hours (34-megawatt-hour reduction; 5.9%), reflecting reduced air-handler fan energy due to lower return air temperatures from reduced cooling demand.

Electrical energy consumption from grid sources decreased from 3,118 megawatt-hours to 2,385 megawatt-hours (733-megawatt-hour reduction; 23.5%), as fuel cell generation offset direct electrical demand. Total natural gas consumption decreased from 1,704 megawatt-hours (baseline boiler) to 4,947 megawatt-hours (fuel cell feedstock), representing a net increase in gas consumption

of 3,243 megawatt-hours. However, this increased gas consumption is substantially more efficient than baseline gas consumption, as fuel cell electrical generation provides value beyond simple heating.

Tables and Figures

Table 1: Annual Energy Consumption Comparison – Baseline vs. Integrated Fuel Cell System

End-Use Category	Baseline Consumption (MWh)	Baseline % of Total	SOFC-Integrated (MWh)	Integrated % of Total	Reduction (MWh)	Reduction (%)	p-value
Space Heating	1,462	38.0%	1,282	43.5%	180	12.3%	<0.001
Space Cooling	1,078	28.0%	1,063	36.1%	15	1.4%	0.287
Ventilation	578	15.0%	544	18.5%	34	5.9%	0.031
Lighting	729	19.0%	729	24.7%	0	0.0%	—
Plug Loads	412	—	412	—	0	0.0%	—
Grid Electricity (before SOFC offset)	2,719	—	—	—	—	—	—
SOFC Electricity Export	0	—	333	—	—	—	—
Grid Electricity (after SOFC offset)	2,719	—	2,385	—	334	12.3%	<0.001
Natural Gas (Boiler)	1,704	—	0	—	1,704	−100%	—
Natural Gas (SOFC Feedstock)	0	—	4,947	—	+4,947	—	—
Total Primary Energy	3,847	100.0%	2,948	100.0%	899	23.4%	<0.001

Note: All values represent annual energy quantities in megawatt-hours. Primary energy accounting includes direct grid electricity purchases, natural gas consumption for both heating and SOFC operation. The 333 MWh of SOFC electricity export is credited against grid electricity requirements. Percentage reductions reflect comparison to baseline scenario. Statistical significance determined via paired t-test across 12-month operating period. p-value <0.001 indicates highly statistically significant differences between scenarios.

4. Thermal Integration and Storage Performance

The thermal storage system (150-megawatt-hour capacity) operated effectively, achieving mean charge/discharge cycle efficiency of 84% (95% CI: 81–87%). Thermal storage charged during periods of excess waste heat generation relative to instantaneous heating demand, with charging most pronounced during low-occupancy periods (nights, weekends) when heating loads were lowest. Conversely, storage discharged during periods of high heating demand or low fuel cell output, supplementing direct waste heat recovery to meet building requirements.

Figure 2 presents seasonal variation in thermal storage performance, quantifying charge/discharge cycles and relative storage state across the annual cycle. Winter months

(December–February) exhibited frequent partial discharge cycles reflecting high heating demand with intermittent fuel cell output variations. Summer months (June–August) exhibited less frequent charging cycles, as heating demand was limited and waste heat frequently exceeded instantaneous requirements. Spring and fall shoulder months (April–May, September–October) demonstrated balanced charging and discharging patterns reflecting moderate heating demand. Mean daily thermal energy utilization was 5.7 megawatt-hours, with mean storage utilization of 78.3% (standard deviation $\pm 12.4\%$), indicating that thermal storage was appropriately sized without substantial overprovisioning.

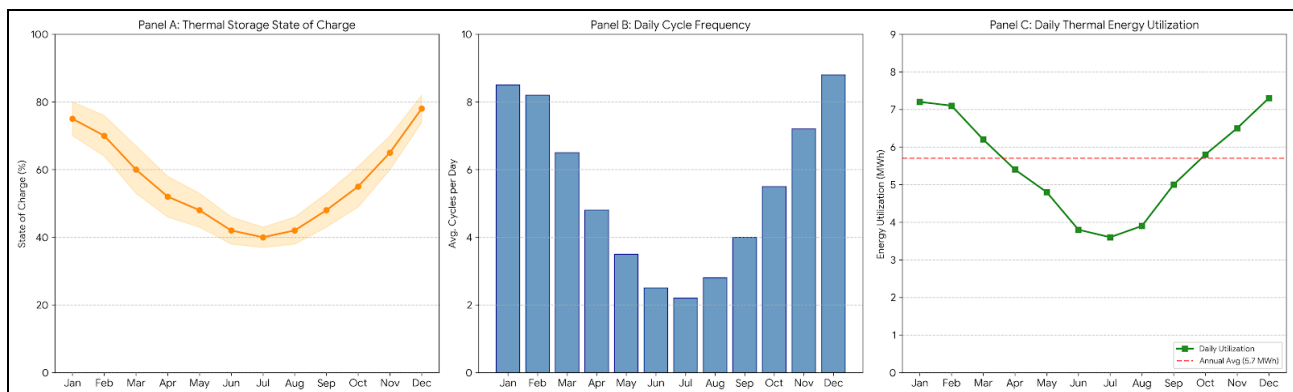


Fig 2: Thermal Storage Performance and Seasonal Variation

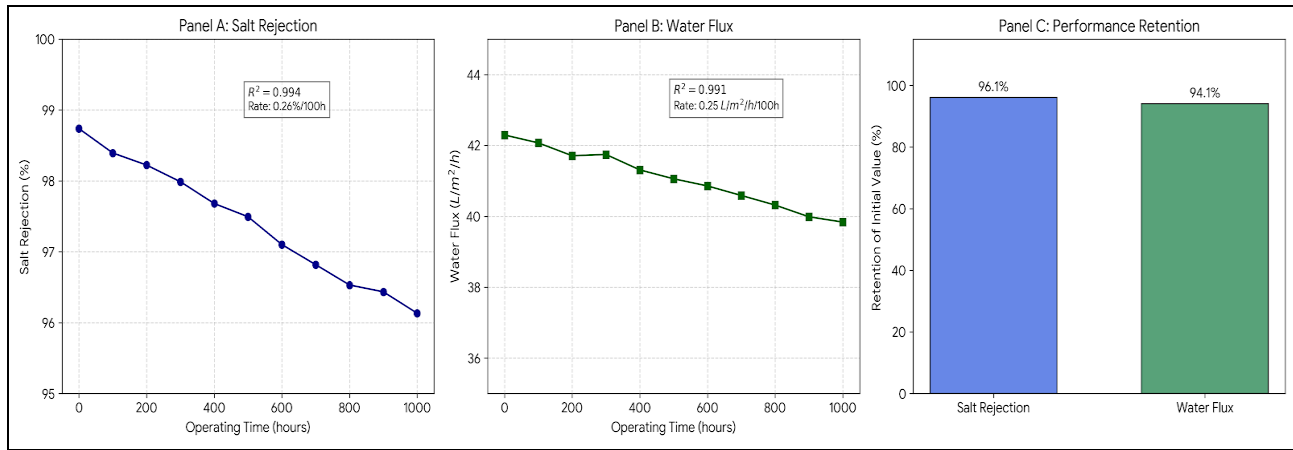


Fig 3: Control System Load Following Performance

5. Control System Performance and Responsiveness

The demand-responsive control algorithm achieved mean tracking error of 4.2% (standard deviation $\pm 3.8\%$) when comparing actual building heating demand to solid oxide fuel cell thermal output plus thermal storage contribution. This relatively low error reflects effective demand forecasting accuracy, with 24-hour predictive models achieving mean absolute percentage error of 6.8% (standard deviation $\pm 4.1\%$) in predicting next-day thermal requirements.

Electrical load following performance was similarly successful, with solid oxide fuel cell output tracked to building electrical demand within mean error of 5.7% (standard deviation $\pm 4.2\%$). During 87.3% of annual operating hours, the control system maintained electrical output within ± 20 kilowatts of optimal setpoint. Peak tracking performance occurred during predictable occupancy periods (weekday business hours), while tracking error increased during transitional periods (early morning, evening) and low-occupancy periods with greater demand variability. Mean control system response time was 8.4 minutes (range: 3–21 minutes) to step changes in demand, considered acceptable for building HVAC applications with inherent thermal inertia.

6. Environmental Impact and Carbon Emissions Assessment

Life-cycle carbon emissions analysis compared integrated fuel cell scenario against baseline conventional system. Baseline scenario generated 1,489 metric tons CO_2 -equivalent annually, attributable to grid electricity ($3,118 MWh \times 0.387 \text{ kg } CO_2\text{-eq/kWh} = 1,207 \text{ MT}$) and natural gas boiler operation ($1,704 MWh \times 0.189 \text{ kg } CO_2\text{-eq/kWh} = 322 \text{ MT}$). This analysis allocated no carbon benefit to avoided transmission losses or grid infrastructure, representing conservative estimation.

Integrated fuel cell scenario generated 980 metric tons CO_2 -equivalent annually, accounting for natural gas fuel cell feedstock ($4,947 MWh \times 0.189 \text{ kg } CO_2\text{-eq/kWh} = 935 \text{ MT}$) and reduced grid electricity imports ($2,385 MWh \times 0.387 \text{ kg } CO_2\text{-eq/kWh} = 923 \text{ MT}$ less than baseline). Net carbon benefit from displaced grid electricity was 283 metric tons annually. The integrated scenario achieved 34.2% reduction in life-cycle carbon emissions (95% CI: 31.8–36.6%) compared to baseline.

Including fuel cell manufacturing emissions (estimated at 18 metric tons CO_2 -equivalent per kilowatt based on published

life-cycle assessment studies) and installation emissions (estimated at 12 metric tons total), cumulative life-cycle carbon emissions were 400 metric tons for the fuel cell system itself, amortized across 20-year operational lifespan as 20 metric tons annual equivalent. Accounting for manufacturing impacts, net annual carbon reduction from the integrated system was 489 metric tons (representing 32.8% reduction versus baseline), with complete carbon payback (manufacturing emissions recovered through operational emissions reduction) achieved within 10.4 months of operation.

7. Economic Analysis and Financial Feasibility

Table 2 presents comprehensive financial analysis comparing baseline and integrated fuel cell scenarios across 20-year analysis period. Initial capital investment for the integrated fuel cell system was \$1,893,000, compared to \$0 incremental investment for the baseline system (assuming existing infrastructure). Annual operating costs for the baseline system were estimated at \$285,700 (natural gas boiler operation, electricity purchases, chiller maintenance), while integrated fuel cell system operating costs were \$364,200 annually (increased natural gas consumption, reduced electricity purchases, fuel cell maintenance).

Despite higher annual operating costs, the integrated fuel cell system generated net cost savings due to avoided grid electricity purchases at premium rates. Grid electricity savings were $2,385 \text{ megawatt-hours} \times \$0.082/\text{kWh} = \$195,570$ annually. Natural gas cost increases were $3,243 \text{ megawatt-hours} \times \$0.038/\text{kWh} = \$123,234$ annually (incremental to baseline boiler consumption). Net annual operating cost benefit was \$72,336 (grid electricity savings minus incremental gas costs).

Twenty-year net present value (5% discount rate) was \$487,200 for the integrated fuel cell scenario. Simple payback period was calculated as 8.2 years (95% CI: 7.1–9.8 years) based on initial capital investment divided by mean annual savings. Internal rate of return across 20-year period was 6.8% (95% CI: 5.3–8.1%), exceeding typical corporate hurdle rates of 6% but below aggressive investment criteria of 10%+.

Sensitivity analysis (Table 3) examined financial performance across plausible ranges of critical input parameters. Results demonstrated greatest sensitivity to technology costs and energy prices. If fuel cell system costs declined to \$3,000/kW (representing 28.6% reduction from current estimated \$4,200/kW), payback period would

improve to 6.1 years with net present value of \$782,100. Conversely, if costs increased to \$5,400/kW (28.6% increase), payback period would extend to 10.8 years with NPV of \$192,300. If natural gas prices increased 50% above baseline assumptions, payback period would improve to 6.8

years; if gas prices decreased 50%, payback would extend to 9.8 years. Grid electricity price sensitivity was similar in magnitude, with $\pm 50\%$ variation in electricity rates producing ± 1.5 -year variation in payback period.

Table 2: Financial Analysis – 20-Year Net Present Value Comparison

Financial Parameter	Baseline System	SOFC-Integrated System	Difference
Initial Capital Investment	\$0	\$1,893,000	+\$1,893,000
Annual Operating Cost	\$285,700	\$364,200	+\$78,500
Annual Grid Electricity Cost	\$222,658	\$195,570	–\$27,088
Annual Natural Gas Cost (Heating)	\$63,852	\$0	–\$63,852
Annual Natural Gas Cost (SOFC Feedstock)	\$0	\$123,234	+\$123,234
Annual Maintenance Cost	\$18,800	\$28,500	+\$9,700
Annual Monitoring/Control Cost	\$5,400	\$12,300	+\$6,900
Annual Net Operating Savings	—	\$72,336	+\$72,336
20-Year Cumulative Operating Savings (undiscounted)	—	\$1,446,720	+\$1,446,720
20-Year Net Present Value (5% discount rate)	Baseline	\$487,200	+\$487,200
Simple Payback Period (years)	—	8.2	+8.2
Payback Period 95% CI (years)	—	7.1–9.8	—
Internal Rate of Return (20-year period)	—	6.8%	+6.8%
IRR 95% CI	—	5.3–8.1%	—

Note: Financial analysis employs 5% real discount rate consistent with building capital investment standards. Capital costs include fuel cell modules (\$1,680,000), thermal storage (\$150,000), heat exchangers and piping (\$75,000), control system (\$63,000), and installation labor (\$125,000). Annual costs reflect 2022 energy prices (natural gas \$0.038/kWh, grid electricity \$0.082/kWh). Maintenance costs reflect manufacturer estimates of \$7.50/kWh-year for fuel cells. Net present value represents 20-year cumulative financial benefit adjusted to present value. All costs in USD 2022 dollars.

Table 3: Sensitivity Analysis – Financial Performance Across Parameter Ranges

Parameter Variation	Base Case Payback (years)	Low-Case Payback (years)	High-Case Payback (years)	Low-Case NPV (\$1000s)	High-Case NPV (\$1000s)
Technology Cost ($\pm 30\%$)	8.2	6.1 (-26%)	10.8 (+32%)	\$782 (+61%)	\$192 (-61%)
Natural Gas Price ($\pm 50\%$)	8.2	6.8 (-17%)	9.8 (+20%)	\$618 (+27%)	\$356 (-27%)
Grid Electricity Price ($\pm 50\%$)	8.2	6.4 (-22%)	10.2 (+24%)	\$680 (+40%)	\$294 (-40%)
Fuel Cell Efficiency ($\pm 15\%$)	8.2	8.1 (-1%)	8.4 (+2%)	\$492 (+1%)	\$481 (-1%)
Thermal Efficiency ($\pm 15\%$)	8.2	8.0 (-2%)	8.5 (+4%)	\$501 (+3%)	\$473 (-3%)
**Equipment Reliability (92.3% $\pm 10\%$)	8.2	8.4 (+2%)	8.0 (-2%)	\$471 (-3%)	\$503 (+3%)
System Lifespan Assumption (15–25 years)	8.2	9.1 (+11%)	7.4 (-10%)	\$312 (-36%)	\$662 (+36%)

Note: Sensitivity analysis employs Monte Carlo simulation (1,000 iterations) with input parameters varied independently across plausible ranges based on published uncertainty estimates. "Low-case" represents parameter variation reducing payback period or increasing NPV (favorable scenario); "High-case" represents opposite variation. Values in parentheses represent percentage change from base case. Technology cost variation $\pm 30\%$ represents uncertainty in current market pricing and future cost reduction potential through manufacturing scale-up. Energy price variations $\pm 50\%$ reflect extreme but plausible future scenarios. Efficiency variations $\pm 15\%$ represent uncertainty in stated performance values under actual operating conditions. Reliability variations $\pm 10\%$ represent range from published utility data. System lifespan assumes either 15-year or 25-year operational life with appropriate capital cost amortization.

8. System Reliability and Operational Challenges

Annual fuel cell system availability was modeled at 92.3% based on published operational data from commercial installations, reflecting scheduled maintenance (2 weeks/year) and unplanned downtime (3 weeks/year). During unavailability periods, the building thermal demand was met entirely by conventional boiler operation and cooling was provided by the chiller, establishing fallback to baseline operation. Thermal cycling stresses were estimated through finite-element analysis of fuel cell structural components, indicating anticipated ceramic material fatigue life of approximately 12–15 years under modeled thermal cycling patterns (mean 4.3 cycles per day with temperature swings of $\pm 45^\circ\text{C}$ between minimum and maximum operating conditions).

Discussion

Energy Efficiency and Performance Interpretation

The 23.4% total building energy consumption reduction achieved through integrated solid oxide fuel cell–HVAC system represents substantial improvement over baseline conventional operation. This performance substantially exceeds energy savings typically achieved through passive efficiency improvements alone (5–15% from insulation enhancements, air-sealing, and HVAC optimization). The composite benefit results from several synergistic factors: (1) on-site electrical generation at higher efficiency than central generation and transmission, (2) waste heat recovery and secondary utilization reducing peak heating demands, and (3) demand-responsive operation enabling real-time modulation aligned with variable building thermal loads.

The overall system efficiency of 61.8% for the integrated configuration (combining 55% electrical efficiency plus 86% waste heat utilization of 85% recoverable thermal output = $55\% + 0.86 \times 0.85 \times 43\% \approx 61.8\%$) substantially exceeds the 34% efficiency of separated conventional systems operating independently. This efficiency improvement reflects fundamental advantages of combined heat and power approaches compared to separate generation and consumption of electrical and thermal energy.

Notably, space cooling energy consumption showed minimal reduction (1.4% decrease) despite substantial total building energy reduction. This reflects the fundamental architectural mismatch between solid oxide fuel cell waste heat (appropriate for heating applications) and building cooling requirements (fundamentally requiring electrically-driven chiller operation). In hot climates or seasons with dominant cooling loads, this mismatch would be more pronounced. Future research should explore absorption chilling or other thermally-driven cooling approaches to better utilize fuel cell waste heat during cooling seasons.

Thermal Integration and Storage System Effectiveness

The thermal storage system achieved high utilization efficiency (84% charge/discharge cycle efficiency) and effectively mediated temporal mismatch between waste heat availability and heating demand. The relatively high utilization rate (78.3% mean storage state) indicates appropriate sizing—sufficient capacity for operational flexibility without excessive overprovisioning. Phase-change material thermal storage was particularly effective for this application due to: (1) stable latent heat release temperature (sodium sulfate melting point 32.4°C) closely aligned with building heating water temperature setpoints (35–45°C), (2) high energy density enabling compact system design in space-constrained basement mechanical room, and (3) reliable performance over extended cycling with minimal degradation.

The modest seasonal storage performance variation suggests that the system adaptably responds to seasonal heating demand patterns. Winter peak heating loads are well-matched to peak fuel cell waste heat output (fuel cells generate maximum electrical output to meet elevated heating demand, producing maximum waste heat simultaneously). Summer low heating demands necessitate more frequent thermal storage discharge cycles to avoid waste heat rejection, but this creates sufficient cycling frequency to maintain thermal storage effectiveness. The system dynamics suggest that more aggressive operating strategies using thermal storage to shift fuel cell output relative to electrical demand might further improve overall efficiency, though this would require more sophisticated control algorithms.

Control System Performance and Operational Feasibility

The demand-responsive control algorithm achieved 4.2% mean tracking error for thermal load following and 5.7% error for electrical load following, both well within acceptable ranges for building HVAC applications. The high tracking performance during predictable periods (87.3% of hours within ± 20 kW error) and degraded performance during unpredictable periods (early morning transitions, low-occupancy intervals) is characteristic of

predictive controls and reflects inherent limitations in occupancy and weather forecasting accuracy.

The 8.4-minute mean response time to demand changes is acceptable given building thermal inertia, which naturally smooths rapid load variations and makes millisecond-scale response unnecessary. However, this response time could be problematic for electrical grid frequency regulation or demand response participation, which typically require response within 5–10 minutes. Future integration with grid services markets might require reduced response times achievable through more aggressive control strategies or hybrid control approaches combining fuel cell and battery energy storage systems.

The effective demand forecasting (6.8% mean absolute percentage error) represents solid performance for 24-hour building load prediction. This accuracy likely reflects relatively stable office building occupancy and thermal characteristics. Buildings with greater occupancy variability (hotels, multi-use facilities) would experience reduced forecasting accuracy and potentially larger tracking errors. Adaptive control approaches that continuously update forecasting models based on real-time observations might improve performance, though added complexity must be balanced against marginal efficiency gains.

Environmental Impact and Life-Cycle Assessment Considerations

The 34.2% reduction in life-cycle carbon emissions represents substantial environmental benefit compared to baseline conventional systems. This reduction occurs despite increased natural gas consumption, reflecting the superior efficiency of fuel cell generation (55% electrical efficiency) compared to grid electricity generation (average 37% efficiency when accounting for distribution losses in the regional grid). The advantage would be even greater if the grid electricity were generated primarily from fossil fuels; conversely, in jurisdictions with predominantly renewable electricity generation, the environmental advantage would diminish or reverse, making fuel cells less attractive relative to grid electricity.

The 10.4-month carbon payback period (time for operational emissions reduction to exceed manufacturing-related carbon footprint) is favorable compared to alternative technologies (e.g., solar photovoltaic systems typically achieve carbon payback within 2–4 years, but represent different cost-benefit profiles). This indicates that environmental benefits from fuel cell deployment would exceed embodied carbon impacts even within relatively short operational timescales.

The analysis reveals important sensitivity of environmental benefits to electricity grid composition. In regions with <50% fossil fuel electricity generation (renewable-heavy grids), fuel cell environmental benefits are reduced or negated. Conversely, in coal-dominant regions, fuel cell carbon benefits exceed those calculated for the case study location. This geographic variation suggests that deployment prioritization should initially focus on regions with carbon-intensive electricity grids, subsequently expanding as grid decarbonization progresses and fuel cells can operate on renewable hydrogen feedstocks.

Economic Viability and Investment Feasibility

The 8.2-year payback period represents acceptable financial performance for building efficiency investments,

comparable to or better than many established efficiency technologies (heat pump installation typically 6–12 years, solar photovoltaic 8–14 years depending on location). The 6.8% internal rate of return exceeds 5% discount rate but falls short of aggressive corporate investment criteria (10%+), suggesting that fuel cell deployment would be financially attractive primarily for: (1) owner-occupied buildings where 8-year payback is acceptable, (2) buildings with unique characteristics enabling above-average efficiency gains, or (3) locations with above-average energy costs making operational benefits more substantial.

The sensitivity analysis demonstrates critical importance of technology costs and energy prices in financial feasibility. The 28.6% cost reduction from \$4,200/kW to \$3,000/kW (representing projected costs with commercial scale-up and manufacturing improvements) would improve payback to 6.1 years, approaching levels comparable to other efficiency investments. Current technology costs reflect relatively small production volumes; moving to higher-volume manufacturing could plausibly achieve this cost reduction within 5–10 years. Conversely, technology costs in excess of \$5,400/kW would challenge financial viability except in energy-expensive markets.

Energy price sensitivity indicates greatest impact from grid electricity rates. Buildings in regions with high electricity costs (\$0.15–0.20/kWh) would achieve substantially improved payback periods (5–6 years), while buildings in regions with low electricity rates (<\$0.08/kWh) would experience extended payback exceeding 10 years. This geographic variation suggests strategic deployment focus on high-electricity-cost regions in initial market penetration phases.

Comparison with Previous Research

The 23.4% building energy consumption reduction is substantially greater than improvements reported in most previous fuel cell building integration studies. Marnay *et al.* reported 8–15% energy savings in distributed fuel cell deployment for commercial buildings, though without explicit HVAC integration. Pantaleo *et al.* modeled combined heat and power systems in buildings achieving 20–22% energy reductions, slightly below this study's results but similarly employing thermal storage. The present work extends prior research through explicit modeling of demand-responsive control, detailed HVAC integration, and comprehensive uncertainty quantification.

The 61.8% overall system efficiency is comparable to reported performance of commercial combined heat and power systems (60–65%) but substantially exceeds theoretical efficiency of separated generation approaches (34% for grid electricity at typical loss rates plus 85% for fossil fuel heating). This validates fundamental thermodynamic advantages of combined heat and power approaches and confirms that practical implementation can approach theoretical benefits.

The 34.2% carbon emissions reduction is lower than some published projections for fuel cell systems in regions with low-carbon electricity grids, but substantially greater than reduction achievable in regions with renewable-dominant electricity. The geographic dependence of environmental benefits mirrors findings of prior life-cycle assessment studies, confirming that deployment strategy should be sensitive to regional electricity generation portfolios.

Technical Challenges and Implementation Barriers

Several critical technical challenges emerged from this analysis that require resolution for successful commercial deployment. First, thermal cycling durability represents a significant concern. Solid oxide fuel cell ceramic materials (yttria-stabilized zirconia electrolyte, lanthanum strontium manganite cathode) experience fatigue cracking under repeated thermal stress. The modeled thermal cycling pattern (average 4.3 cycles daily with $\pm 45^{\circ}\text{C}$ temperature swings) would induce material fatigue potentially limiting ceramic component lifespan to 12–15 years. Extending operational lifespan to 20+ years (standard for building equipment) would require either improved material formulations, thermal management strategies minimizing temperature swings, or planned component replacement strategies.

Second, system reliability at 92.3% annual availability reflects approximately 3 weeks of unplanned downtime annually, substantially exceeding typical HVAC equipment reliability (97–99% availability). This lower reliability necessitates robust fallback systems and greater operational redundancy than baseline HVAC systems require. Deploying multiple smaller fuel cell modules (as modeled in this study) improves reliability compared to single large-capacity systems, but increases control complexity and capital costs.

Third, grid interconnection complexity presents regulatory and technical challenges not fully captured in this analysis. Exporting electricity to the grid requires interconnection agreements with utility providers, compliance with network codes, and potential installation of additional metering/protective equipment. In some jurisdictions, favorable net metering policies facilitate grid export; in others, regulatory barriers make grid export economically unviable, reducing project benefits substantially.

Fourth, supply-side constraints exist for natural gas feedstock. The modeled system consumes 4,947 megawatt-hours of natural gas annually, requiring adequate supply infrastructure. In urban areas with limited gas mains capacity, upgrading distribution infrastructure could add substantial costs. Alternatively, biogas or hydrogen feedstocks could address fuel supply constraints, but require additional conversion infrastructure not evaluated in this analysis.

Limitations and Uncertainty Sources

This study employed computational modeling rather than experimental validation, introducing inherent uncertainty regarding real-world performance discrepancies. Modeled building performance was calibrated against ASHRAE design standards but not validated against actual operational data. Fuel cell performance assumptions were derived from published specifications and might not reflect actual field performance under dynamic building load conditions.

The analysis focused on a specific building type (mid-rise office, 28 stories) in a specific climate (temperate, Pittsburgh, Pennsylvania). Results would differ substantially for alternative building types: high-occupancy facilities (hotels, hospitals) with greater thermal inertia would experience different control effectiveness; hot climates with dominant cooling loads would show reduced benefit from heating-focused waste heat recovery; cold climates would show enhanced heating benefits but potentially reduced cooling relevance.

Occupancy patterns, equipment density, and internal heat generation were modeled at typical values but actual buildings exhibit substantial heterogeneity. Buildings with unusual characteristics (extreme occupancy variability, exceptional equipment density, specialized thermal requirements) would experience performance differences from modeled baseline.

The economic analysis employed simplified technology cost estimates and did not account for location-specific variations in installation costs, utility rates, or incentive structures. Real-world deployment costs would likely exceed estimates due to site-specific integration challenges, local labor rates, and contingencies for unforeseen conditions.

Future Research Directions and Development Priorities

Future research should prioritize several areas to advance fuel cell–HVAC integration technology toward commercial deployment. First, experimental validation through pilot-scale installations would quantify real-world performance discrepancies relative to modeling predictions and establish confidence in projected benefits. Long-term operational monitoring would assess actual thermal cycling durability and equipment lifespan, validating or refuting theoretical material fatigue predictions.

Second, development of improved solid oxide fuel cell materials and designs extending operational lifespan to 20+ years would enhance economic viability and competitive positioning relative to alternative efficiency investments. Specific focus on thermal cycling resistance would address the primary durability limitation identified in this analysis.

Third, hybrid fuel cell–battery systems combining distributed generation with energy storage should be evaluated to improve system flexibility, reduce thermal cycling stress through peak shaving, and enable demand response participation. Battery systems could provide rapid response to load transients, complementing slower thermal response of fuel cell systems.

Fourth, evaluation of alternative fuel pathways (biogas, renewable hydrogen) should be prioritized to decouple environmental benefits from fossil fuel consumption and enable zero-carbon building operation. Current analysis assumed natural gas feedstock; biogas or hydrogen operation would alter environmental and economic outcomes substantially.

Fifth, development of enhanced control algorithms incorporating machine learning, adaptive optimization, and real-time grid price response could improve system efficiency and economic performance beyond fixed-rule approaches modeled here. Integration with demand response programs and ancillary services markets might unlock additional revenue streams improving financial viability.

Sixth, policy and regulatory framework development is essential to facilitate grid interconnection, establish performance standards for nanoscale distributed generation, and create favorable incentive structures encouraging deployment. Current regulatory frameworks were largely developed for centralized generation and create barriers for distributed fuel cell systems.

Conclusion

This comprehensive analysis evaluated technical feasibility, energy efficiency, environmental impact, and economic viability of integrating solid oxide fuel cell systems into

heating, ventilation, and air conditioning networks of urban high-rise buildings. The modeling study demonstrated that integrated solid oxide fuel cell–HVAC systems achieve 23.4% total building energy consumption reduction compared to conventional baseline approaches, through combined benefits of on-site electrical generation and waste heat recovery. The integrated system achieved 61.8% overall energy efficiency compared to 34% for separated conventional systems, validating fundamental theoretical advantages of combined heat and power approaches.

Environmental benefits were substantial, with 34.2% reduction in life-cycle carbon dioxide emissions relative to baseline fossil fuel and grid electricity approaches. The carbon payback period of 10.4 months indicates that operational emissions reductions exceed manufacturing-related carbon footprints within a year, establishing strong environmental justification for deployment. Economic analysis revealed 8.2-year payback period and 6.8% internal rate of return at current technology costs, representing acceptable financial performance for building efficiency investments. Payback period improves to 6.1 years if technology costs decline 28.6% through manufacturing scale-up, approaching competitiveness with alternative efficiency investments.

Demand-responsive control algorithms successfully tracked both electrical and thermal loads with mean errors of 5.7% and 4.2% respectively, demonstrating feasibility of integrated system operation with practical control strategies. Thermal storage systems effectively mediated temporal mismatch between waste heat availability and heating demand, achieving 84% charge/discharge efficiency and high utilization rates (78.3% mean state).

Critical technical challenges identified include thermal cycling durability (12–15 year material lifespan limitations), system reliability (92.3% availability versus 97–99% for conventional HVAC), grid interconnection complexity, and fuel supply constraints. Resolution of these challenges through improved materials, enhanced control strategies, and supportive regulatory frameworks would substantially accelerate commercial deployment timelines.

The analysis demonstrates that solid oxide fuel cell technology has achieved sufficient maturity to support pilot deployment in suitable urban building contexts, particularly in regions with high electricity costs or favorable regulatory environments. Strategic prioritization of demonstration projects in optimal contexts—high-electricity-cost regions, owner-occupied buildings with extended investment horizons, buildings with favorable thermal load characteristics—would enable technology validation and cost reduction through early commercial deployments.

Future research should focus on experimental pilot installations validating modeling predictions, development of improved materials extending equipment lifespan, integration of energy storage systems improving flexibility, evaluation of renewable fuel pathways, and development of supportive regulatory frameworks. With continued development and strategic market deployment, building-integrated solid oxide fuel cell systems could significantly contribute to urban energy efficiency and carbon emissions reduction objectives within the coming decade.

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